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Declarations under Rule 4.17:

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, MI, MR, NE, SN, TD, TG)*
- *of inventorship (Rule 4.17(iv)) for US only*

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WO 2004/087688 A1

(54) Title: NOVEL CRYSTALLINE FORMS OF GATIFLOXACIN

(57) Abstract: The present invention relates to novel crystalline forms of gatifloxacin, to processes for their preparation and to pharmaceutical compositions containing them.

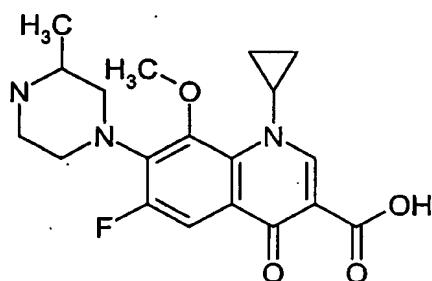
NOVEL CRYSTALLINE FORMS OF GATIFLOXACINFIELD OF THE INVENTION

5       The present invention relates to novel crystalline forms of gatifloxacin, to processes for their preparation and to pharmaceutical compositions containing them.

BACKGROUND OF THE INVENTION

10

Gatifloxacin of formula (1):



15      or 1-Cyclopropyl-6-fluoro-1,4-dihydro-8-methoxy-7-(3-methyl-1-piperazinyl)-4-oxo-3-quinolinecarboxylic acid is an antibacterial agent and its therapeutic uses are disclosed in US 4,980,470.

20      Example 3 and 14 of US 4,980,470 described monohydrate of gatifloxacin. A crystalline form of sesquihydrate of gatifloxacin is disclosed in US 5,880,283. Various crystalline forms of gatifloxacin hydrates are mentioned in US 6,413,969.

We have discovered five stable novel crystalline forms of gatifloxacin and these forms are found to be suitable for pharmaceutical preparations.

25      The object of the present Invention is to provide stable novel crystalline forms of gatifloxacin, processes for preparing these forms and pharmaceutical compositions containing them.

DETAILED DESCRIPTION OF THE INVENTION

In accordance with the present invention, there is provided a novel crystalline form of gatifloxacin sesquihydrate, designated as Form H1,  
5 characterized by an x-ray powder diffraction pattern having peaks expressed as 2θ at about 9.2, 10.5, 12.9, 18.4, 18.9, 19.9, 21.2, 21.7 and 24.0 degrees. Figure 1 shows typical Form H1 x-ray powder diffraction pattern.

Gatifloxacin sesquihydrate Form H1 is prepared by crystallizing gatifloxacin sesquihydrate Form H1 from a solution comprising gatifloxacin, a  
10 chlorinated solvent and water. The suitable chlorinated solvents are ethylene dichloride, chloroform, carbon tetrachloride and methylene dichloride. A mixture of chlorinated solvents is also part of the invention. The water content in the solution should be at least 1.5 mole per mole of gatifloxacin. There is no upper limit for water content so long as gatifloxacin sesquihydrate Form H1 can be  
15 crystallized from the solution. A hydrated form of gatifloxacin or gatifloxacin prepared by a known method may be used in the process. Preferably, gatifloxacin sesquihydrate Form H1 is crystallized at about 20°C to 25°C from the solution.

In accordance with the present invention, there is provided a novel  
20 crystalline form of gatifloxacin, designated as Form H2, characterized by an x-ray powder diffraction pattern having peaks expressed as 2θ at about 5.9, 7.8, 13.7, 14.1, 15.9, 19.7 and 21.1 degrees. Figure 2 shows typical Form H2 x-ray powder diffraction pattern.

In accordance with the present invention, a process is provided for  
25 preparation of gatifloxacin Form H2. In this process, gatifloxacin is mixed with an ester solvent at a higher temperature, preferably at about 70°C to 80°C, cooling the contents rapidly to about 20°C to 25°C and filtering gatifloxacin Form H2 from the contents at about 20°C to 25°C. The suitable ester solvents are ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl acetate, ethyl formate and  
30 methyl formate. A mixture of the ester solvents may also be used. A hydrated form of gatifloxacin or gatifloxacin prepared by a known method may be used in the process. The mixture of gatifloxacin and the ester solvent is preferably maintained at 70°C to 80°C for about 30 minutes, cooled to at about 20°C to

25°C in about 1 hour and then maintained for about 12 hours at about 20°C to 25°C.

In accordance with the present invention, there is provided a novel crystalline form of gatifloxacin, designated as Form H3, characterized by an x-ray powder diffraction pattern having peaks expressed as 2θ at about 7.8, 10.2, 12.9, 13.6, 14.1, 19.7, 20.5, 23.8, 25.9 and 28.6 degrees. Figure 3 shows typical Form H3 x-ray powder diffraction pattern.

In accordance with the present invention, a process is provided for preparation of gatifloxacin Form H3. In this process, gatifloxacin is mixed with an ester solvent at a higher temperature, preferably at about 70°C to 80°C, cooling the contents slowly to about 20°C to 25°C and filtering gatifloxacin Form H3 from the contents at about 20°C to 25°C. The suitable ester solvents are ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl acetate, ethyl formate and methyl formate. A mixture of the ester solvents may also be used. A hydrated form of gatifloxacin or gatifloxacin prepared by a known method may be used in the process. The mixture of gatifloxacin and the ester solvent is preferably maintained at 70°C to 80°C for about 30 minutes, cooled to at about 20°C to 25°C in about 4 to 6 hours and then maintained for about 12 hours at about 20°C to 25°C.

In accordance with the present invention, there is provided a novel crystalline form of gatifloxacin sesquihydrate, designated as Form H4, characterized by an x-ray powder diffraction pattern having peaks expressed as 2θ at about 6.3, 7.8, 9.2, 9.8, 10.6, 12.6, 12.9, 13.5, 14.4, 18.4, 19.8, 20.0, 20.9, 24.4, 25.4, 25.9 and 27.9 degrees. Figure 4 shows typical Form H4 x-ray powder diffraction pattern.

Gatifloxacin sesquihydrate Form H4 is prepared by crystallizing gatifloxacin sesquihydrate Form H4 from a solution comprising gatifloxacin, a suitable quantity of 1,4-dioxane and water. The quantity of the 1,4-dioxane is above 20 ml, preferably 20 to 40 ml per gm of gatifloxacin. The water content in the solution should be at least 1.5 mole per mole of gatifloxacin. There is no upper limit for water content so long as gatifloxacin sesquihydrate Form H4 can be crystallized from the solution. A hydrated form of gatifloxacin or gatifloxacin prepared by a known method may be used in the process.

In accordance with the present invention, there is provided a novel crystalline form of gatifloxacin sesquihydrate, designated as Form H5, characterized by an x-ray powder diffraction pattern having peaks expressed as 2θ at about 8.2, 13.5, 13.9, 16.5, 17.0, 17.9, 19.9, 21.0, 23.3 and 24.8 degrees.

5 Figure 5 shows typical Form H5 x-ray powder diffraction pattern.

Gatifloxacin sesquihydrate Form H5 is prepared by crystallizing gatifloxacin sesquihydrate Form H5 from a solution comprising gatifloxacin, a suitable quantity of 1,4-dioxane and water. The quantity of the 1,4-dioxane is below 20 ml, preferably 8 to 15 ml per gm of gatifloxacin. A hydrated form of 10 gatifloxacin or gatifloxacin prepared by a known method may be used in the process. The water content in the solution should be at least 1.5 mole per mole of gatifloxacin. There is no upper limit for water content so long as gatifloxacin sesquihydrate Form H5 can be crystallized from the solution.

In accordance with the present invention, there is provided a

15 pharmaceutical composition comprising any of the crystalline forms, Form H1 to H5, of gatifloxacin and a pharmaceutically acceptable carrier.

#### BRIEF DESCRIPTION OF THE DRAWINGS

20 Figure 1 is a x-ray powder diffraction spectrum of gatifloxacin sesquihydrate Form H1.

Figure 2 is a x-ray powder diffraction spectrum of gatifloxacin Form H2.

Figure 3 is a x-ray powder diffraction spectrum of gatifloxacin Form H3.

25 Figure 4 is a x-ray powder diffraction spectrum of gatifloxacin sesquihydrate Form H4.

Figure 5 is a x-ray powder diffraction spectrum of gatifloxacin sesquihydrate Form H5.

x-Ray powder diffraction spectrum was measured on a Siemens D5000 x-ray powder diffractometer having a copper-K $\alpha$  radiation.

30

The following examples further illustrate the invention.

#### Example 1

Gatifloxacin hemihydrate (1 gm) (obtained by the process described in example-3 of US 4,980,470) is mixed with ethylene dichloride (20 ml, water content 0.3% w/w), heated to 45°C and maintained at this temperature for 15 minutes. The clear solution formed is cooled to 25°C and maintained at 25°C for 5 hours. The separated crystals are filtered to give 0.7 gm of gatifloxacin sesquihydrate Form H1.

#### Example 2

Gatifloxacin (1 gm) is mixed with methylene dichloride (50 ml, water content 0.35% w/w), heated to 45°C and maintained at this temperature for 15 minutes. The solution formed is cooled to 25°C and maintained at 25°C for 10 hours. The separated crystals are filtered to give 0.6 gm of gatifloxacin sesquihydrate Form H1..

15

#### Example 3

Gatifloxacin monohydrate (1 gm) is mixed with ethyl acetate (35 ml), heated to 75°C and maintained at this temperature for 15 minutes. The solution is cooled rapidly to 25°C in 1 hour and maintained for about 12 hours at 25°C. The separated crystals are filtered to give 0.5 gm of gatifloxacin Form H2.

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#### Example 4

Example 3 is repeated using gatifloxacin sesquihydrate Form H1 for gatifloxacin monohydrate to give gatifloxacin Form H2.

25

#### Example 5

Gatifloxacin monohydrate (10 gm) is mixed with ethyl acetate (350 ml), heated to reflux and maintained at this temperature for 15 minutes. The solution is cooled slowly to 25°C in 5 hours and maintained for about 10 hours at 25°C. The separated crystals are filtered to give 6.0 gm of gatifloxacin Form H3.

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#### Example 6

Example 5 is repeated using gatifloxacin Form H2 for gatifloxacin monohydrate to give gatifloxacin Form H3.

**Example 7**

Gatifloxacin (1.0 gm) is mixed with 1,4-dioxane (30 ml, water content 0.4% w/w), refluxed for 10 minutes. The solution obtained is cooled to 25°C for about 12 hours. The separated crystals are filtered to give 0.8 gm of gatifloxacin sesquihydrate Form H4.

**Example 8**

Example 7 is repeated using gatifloxacin Form H3 for gatifloxacin to give gatifloxacin sesquihydrate Form H4.

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**Example 9**

Gatifloxacin (10 gm) is mixed with 1,4-dioxane (100 ml, water content 0.4% w/w), refluxed for 15 minutes. The solution obtained is cooled to 25°C for about 10 hours. The separated crystals are filtered to give 9.2 gm of gatifloxacin sesquihydrate Form H5.

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**Example 10**

Example 9 is repeated using gatifloxacin sesquihydrate Form H1 for gatifloxacin to give gatifloxacin sesquihydrate Form H5.

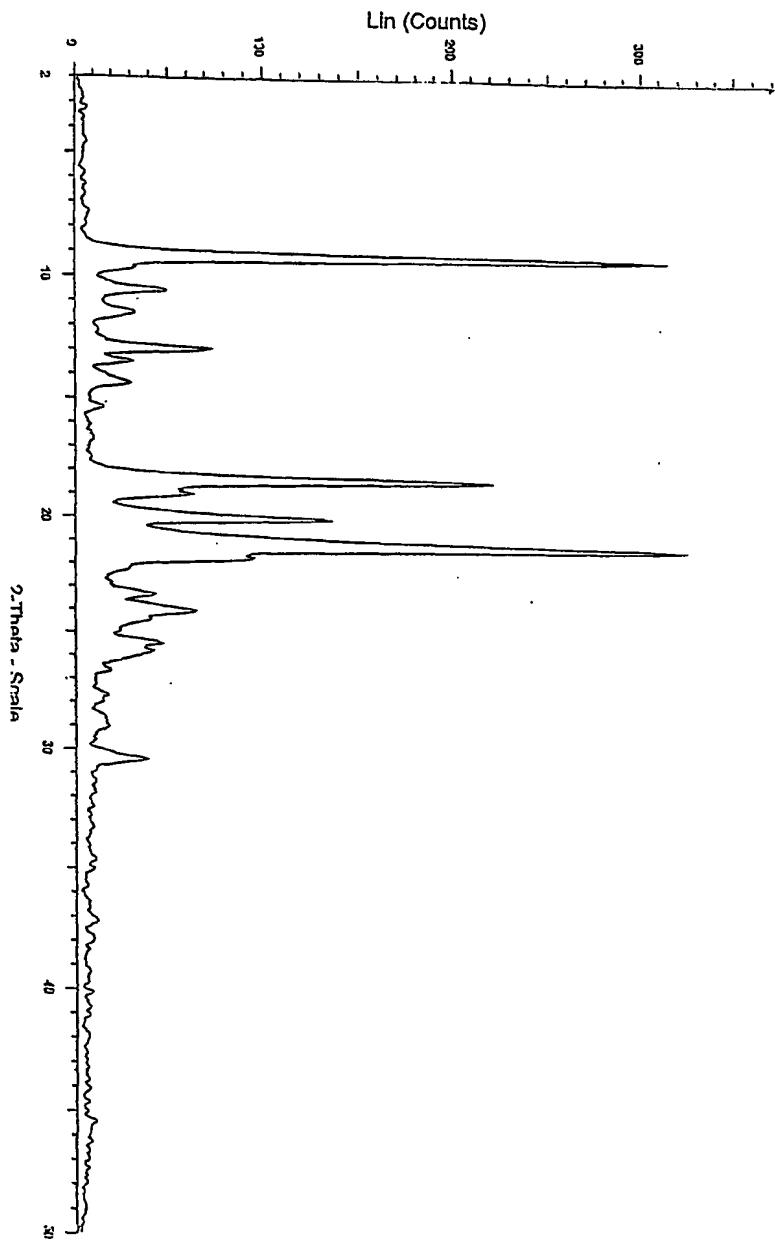
We claim:

1. A crystalline gatifloxacin sesquihydrate Form H1, characterized by an x-ray powder diffraction pattern having peaks expressed as  $2\theta$  at about 9.2, 10.5, 5 12.9, 18.4, 18.9, 19.9, 21.2, 21.7 and 24.0 degrees.
2. A crystalline gatifloxacin sesquihydrate Form H1 as defined in claim 1, further characterized by an x-ray powder diffraction pattern as in figure 1.
3. A process for preparation of gatifloxacin sesquihydrate Form H1 as defined in claim 1, which comprises crystallizing gatifloxacin sesquihydrate Form H1 10 from a solution comprising gatifloxacin, a chlorinated solvent and water; wherein the chlorinated solvent is selected from the group consisting of ethylene dichloride, chloroform, carbon tetrachloride and methylene dichloride.
4. A process according to claim 3, wherein the chlorinated solvent is ethylene dichloride.
- 15 5. A process according to claim 3, wherein gatifloxacin is a hydrate of gatifloxacin.
6. A crystalline gatifloxacin Form H2, characterized by an x-ray powder diffraction pattern having peaks expressed as  $2\theta$  at about 5.9, 7.8, 13.7, 14.1, 15.9, 19.7 and 21.1 degrees.
- 20 7. A crystalline gatifloxacin Form H2 as defined in claim 6, further characterized by an x-ray powder diffraction pattern as in figure 2.
8. A process for preparation of gatifloxacin Form H2 as defined in claim 6, which comprises the steps of:
  - a) mixing gatifloxacin and an ester solvent;
  - 25 b) heating to about  $70^{\circ}\text{C}$  to  $80^{\circ}\text{C}$ ;
  - c) cooling rapidly to about  $20^{\circ}\text{C}$  to  $25^{\circ}\text{C}$ ; and
  - d) filtering the solid separated;wherein the ester solvent is selected from the group consisting of ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl acetate, ethyl formate and methyl 30 formate.
9. A process according to claim 8, wherein the gatifloxacin used is gatifloxacin sesquihydrate Form H1.
10. A process according to claim 8, wherein the ester solvent is ethyl acetate.

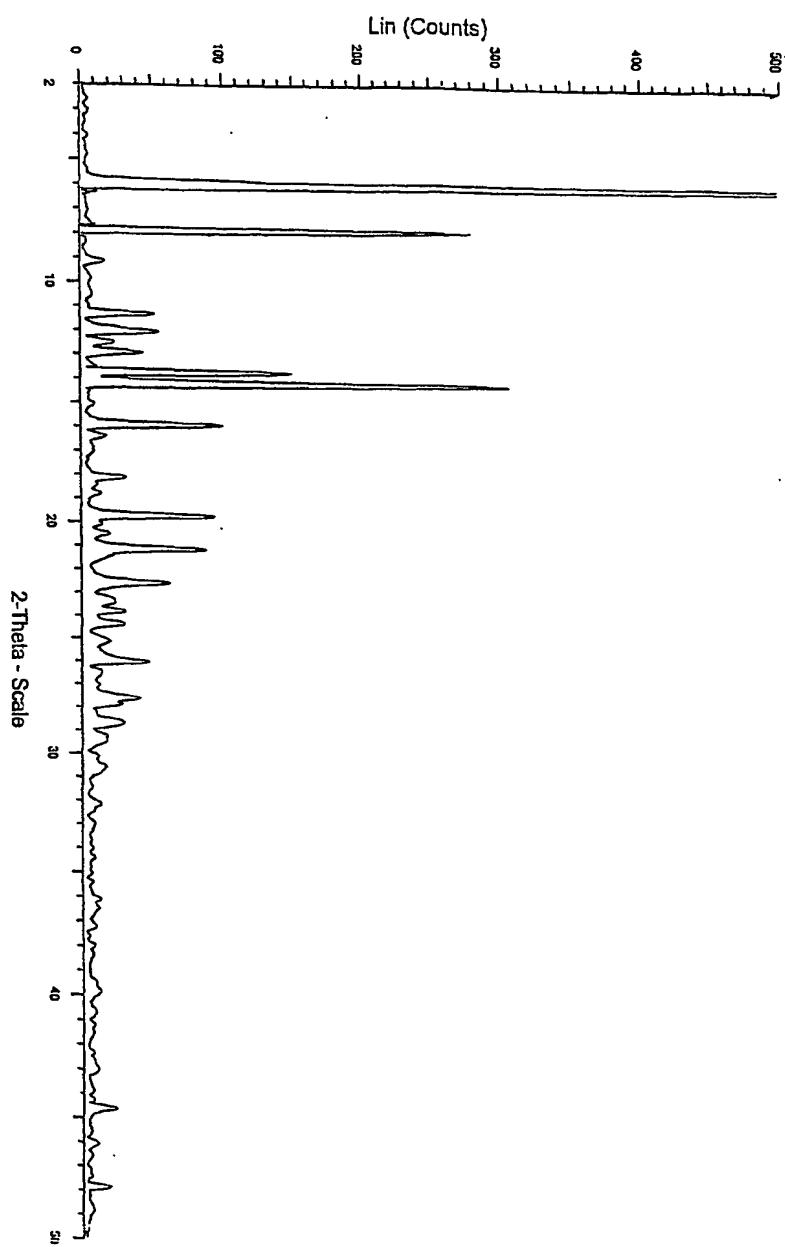
11. A process according to claim 8, wherein the contents are cooled to about 20°C to 25°C in 1 hour.
12. A process according to claim 3, wherein gatifloxacin used is gatifloxacin Form H2 of claim 6.
- 5 13. A crystalline gatifloxacin Form H3, characterized by an x-ray powder diffraction pattern having peaks expressed as 2θ at about 7.8, 10.2, 12.9, 13.6, 14.1, 19.7, 20.5, 23.8, 25.9 and 28.6 degrees.
14. A crystalline gatifloxacin Form H3 as defined in claim 13, further characterized by an x-ray powder diffraction pattern as in figure 3.
- 10 15. A process for preparation of gatifloxacin Form H3 as defined in claim 13, which comprises the steps of:
  - a) mixing gatifloxacin and an ester solvent;
  - b) heating to about 70°C to 80°C;
  - c) cooling slowly to about 20°C to 25°C; and
  - 15 d) filtering the solid separated;  
wherein the ester solvent is selected from the group consisting of ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl acetate, ethyl formate and methyl formate.
16. A process according to claim 15, wherein gatifloxacin used is hydrate of gatifloxacin.
- 20 17. A process according to claim 15, wherein gatifloxacin used is gatifloxacin Form H2.
18. A process according to claim 15, wherein the contents are cooled to about 20°C to 25°C in 4 to 6 hours.
- 25 19. A process according to claim 3, wherein gatifloxacin used is gatifloxacin Form H3 of claim 13.
20. A process according to claim 8, wherein gatifloxacin used is gatifloxacin Form H3 of claim 13.
21. A crystalline gatifloxacin sesquihydrate Form H4, characterized by an x-ray powder diffraction pattern having peaks expressed as 2θ at about 6.3, 7.8, 9.2, 9.8, 10.6, 12.6, 12.9, 13.5, 14.4, 18.4, 19.8, 20.0, 20.9, 24.4, 25.4, 25.9 and 27.9 degrees.
- 30 22. A crystalline gatifloxacin sesquihydrate Form H4 as defined in claim 21, further characterized by an x-ray powder diffraction pattern as in figure 4.

23. A process for preparation of gatifloxacin sesquihydrate Form H4 as defined in claim 21, which comprises crystallizing gatifloxacin sesquihydrate Form H4 from the solution comprising gatifloxacin, a suitable quantity of 1,4-dioxane and water;
- 5       wherein the quantity of 1,4-dioxane is above 20 ml per gm of gatifloxacin.
24. A process according to claim 23, wherein the quantity of 1,4-dioxane is 20 to 40 ml per gm of gatifloxacin.
25. A process according to claim 23, wherein the gatifloxacin is a hydrate of gatifloxacin.
- 10     26. A crystalline gatifloxacin sesquihydrate Form H5, characterized by an x-ray powder diffraction pattern having peaks expressed as  $2\theta$  at about 8.2, 13.5, 13.9, 16.5, 17.0, 17.9, 19.9, 21.0, 23.3 and 24.8 degrees.
27. A crystalline gatifloxacin sesquihydrate Form H5 as defined in claim 26, further characterized by an x-ray powder diffraction pattern as in figure 5.
- 15     28. A process for preparation of gatifloxacin sesquihydrate Form H5 as defined in claim 26, which comprises crystallizing gatifloxacin sesquihydrate Form H5 from the solution comprising gatifloxacin, a suitable quantity of 1,4-dioxane and water;
- 20       wherein the quantity of 1,4-dioxane is equal to or below 20 ml per gm of gatifloxacin.
29. A process according to claim 28, wherein the quantity of 1,4-dioxane is 8 to 15 ml per gm of gatifloxacin.
30. A process according to claim 28, wherein the gatifloxacin is a hydrate of gatifloxacin.
- 25     31. A pharmaceutical composition comprising a crystalline form of gatifloxacin and a pharmaceutically acceptable carrier; wherein the crystalline form is selected from the group consisting of Form H1 of claim 1, Form H2 of claim 6, Form H3 of claim 13, Form H4 of claim 21 and Form H5 of claim 26.
32. A pharmaceutical composition of claim 31, wherein the crystalline form is gatifloxacin sesquihydrate Form H1 of claim 1.
- 30     33. A pharmaceutical composition as defined in claim 31, wherein the crystalline form is gatifloxacin Form H2 of claim 6.
34. A pharmaceutical composition as defined in claim 31, wherein the crystalline form is gatifloxacin Form H3 of claim 13.

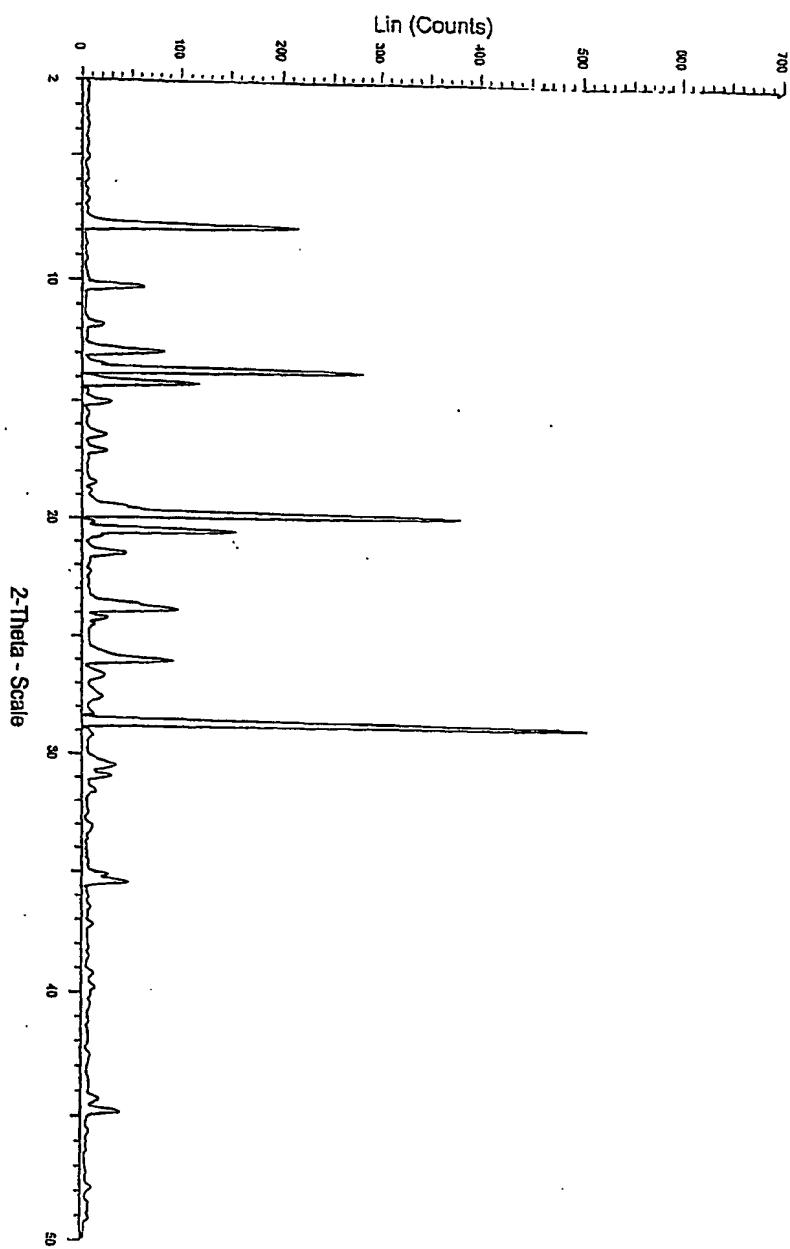
35. A pharmaceutical composition as defined in claim 31, wherein the crystalline form is gatifloxacin sesquihydrate Form H4 of claim 21.
36. A pharmaceutical composition as defined in claim 31, wherein the crystalline form is gatifloxacin sesquihydrate Form H5 of claim 26.



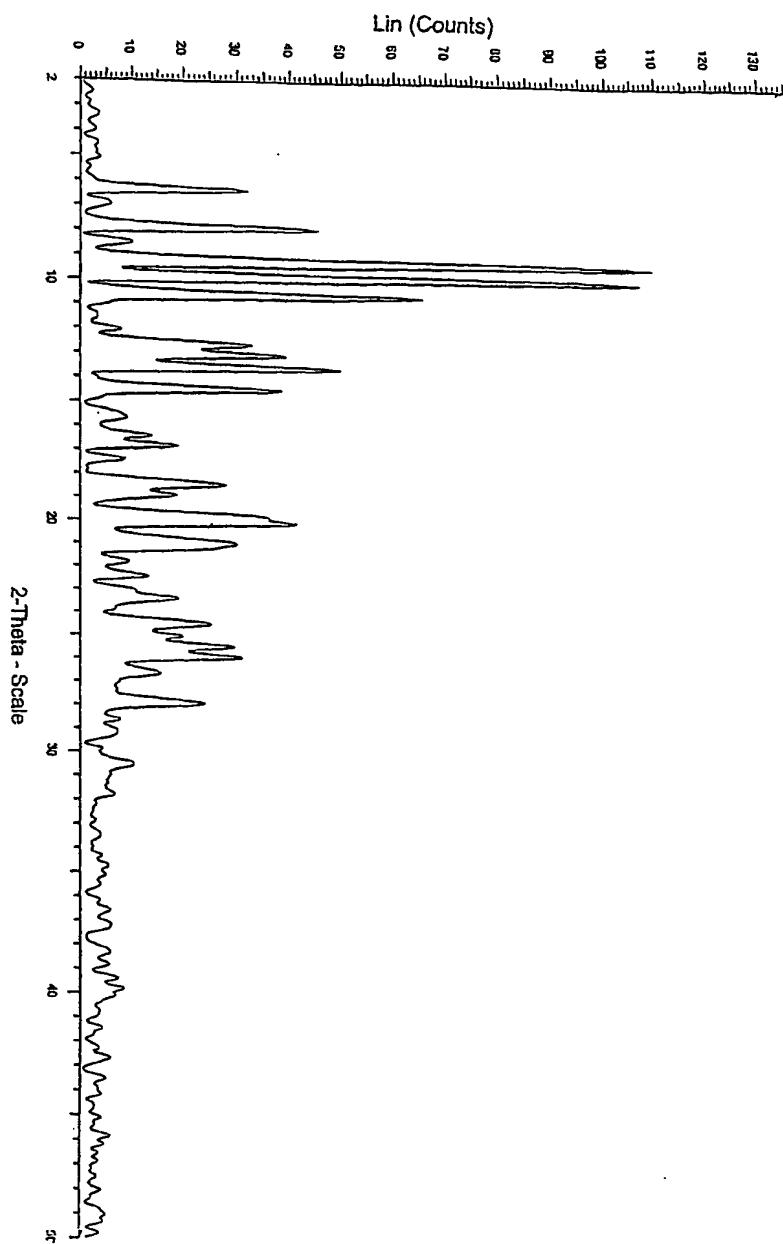
SUBSTITUTE SHEET (RULE 26)



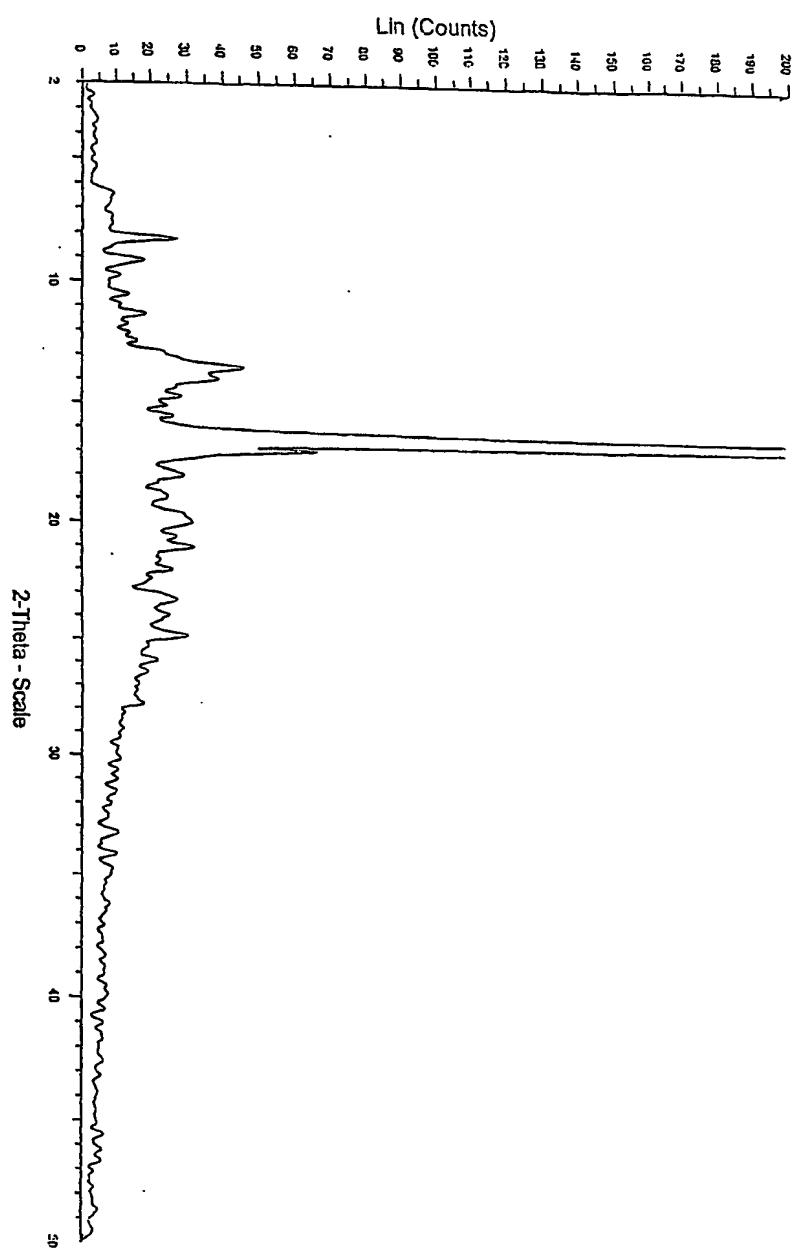
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**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/IN 03/00135-0

CLASSIFICATION OF SUBJECT MATTER		
IPC <sup>7</sup> : C07D 401/04; A61K 31/495		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
IPC <sup>7</sup> : C07D, A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>EPOQUE</b>		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>EP 0805156 A1 (KYORIN PHARMACEUTICAL CO LTD) 5 November 1997 (05.11.97) <i>abstract; page 3, lines 33-36; claims 1, 2.</i></p> <p style="text-align: center;">----</p>	1,3-6,8-13,15-21,23-26,28-36
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* Special categories of cited documents: „A“ document defining the general state of the art which is not considered to be of particular relevance „E“ earlier application or patent but published on or after the international filing date „L“ document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) „O“ document referring to an oral disclosure, use, exhibition or other means „P“ document published prior to the international filing date but later than the priority date claimed		
„T“ later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention „X“ document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone „Y“ document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art „&“ document member of the same patent family		
Date of the actual completion of the international search  28 November 2003 (28.11.2003)		Date of mailing of the international search report  21 January 2004 (21.01.2004)
Name and mailing address of the ISA/AT  Austrian Patent Office Dresdner Straße 87, A-1200 Vienna Facsimile No. 1/53424/535		Authorized officer  KOLLER G.  Telephone No. 1/53424/458

**INTERNATIONAL SEARCH REPORT**International application No.  
PCT/IN 03/00135-0**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: 2, 7, 14, 22, 27  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
Claims 2, 7, 14, 22 and 27 refer to figures of the drawing and contravene Rule 6.2(a) PCT.
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.  
**PCT/IN 03/00135-0**

Patent document cited in search report			Publication date	Patent family member(s)	Publication date
EP	A	5156		DE D 2963707D HU B 180223 NO A 790821 MX E 5685E IL A 56856 ES A 484196	1982-11-04 1983-02-28 1979-09-14 1983-12-09 1982-09-30 1980-05-16